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Battery Energy Storage System Dynamic Control Based on Real-Time Load Forecasting Hao Xin^{1,2}, Yun Xue^{1,2}, Alfredo A. Martinez-Morales^{1,2}

Introduction (Background)

Battery energy storage systems (BESS) can perform load shifting as an energy management strategy by discharging during peak periods and charging during off-peak periods. For electricity rate payers, load shifting brings direct economic benefit due to the significant energy costs between on-peak period and off-peak period (both \$/kW and \$/kWh). This work is part of a demonstration project in peak-demand reduction, an economic benefit analysis, and a micro-grid demonstration of an optimization-based control strategy at City Hall in Rancho Cucamonga. This work consists of two research efforts: load prediction and battery control algorithm. The accuracy of the predicted load profile is an essential component of the control strategy. Load forecast methods can be broadly divided into two categories: Day-ahead forecast and short-time forecast. According to best practices, days are normally classified on the basis of day types and weather characteristics. Days classified into the same group are called similar days. Real-time load prediction applies linear regression analysis according to both historical load data on similar days and acquired load data on the actual day being controlled. Dynamic programming (DP) can handle discontinuous and nonlinear constraints. It can be applied to solve the load shifting control problem, while also taking into consideration the battery state of charge (SOC). The battery charge-discharge strategy is determined by the DP technique, based on the predicted load profile, which is continuously updated as new load data is obtained.

Optimization Algorithm

In this work, the main goal is to use dynamic control to maximize the benefits captured from the battery energy storage system. This can be achieved by maintaining a relatively flat load demand profile by reducing the fluctuation in demand. The developed algorithm aims to utilize load demand and solar production forecasts as inputs to determine the optimal solution for the charge/discharge of the battery. In the optimization model, solving for the BESS charge-discharge strategy is driven by minimizing the variance of the load profile. Constraints:

Energy capacity constraints: Battery remaining energy capacity at every stage is within the allowable range.

Slow $\leq s(i) \leq Shigh \text{ for } i = 0, 1, 2, \dots N$ (3)

In real-time control, the battery remaining capacity at a given moment S(m) is the initial value, and the battery remaining capacity at stage N is the final value. The reduced capacity equals the output capacity of BESS in Δt (if losses are neglected).

s(m) = Sinitial (4)

 $s(i) = s(i-1) + b(i) \times \Delta t, i = m, m+1, \dots, N$ (5)

s(N)=Sfinal(6)

Power constraints: Because of the limits of battery and the power conversion system (PCS), the output power should not exceed the upper and lower boundaries.

 $-P\max \le b(i) \le P\max, i=1,2,\cdots N$ (7)

Depth of Discharge (DOD) constraints: The DOD of *jth* discharge behavior should be larger than or equal (\geq) to the limit DOD(j) $(j=1,2,\cdots k)$. $0 \le DOD(j) < 1$. DOD(j) = 0 (8)

meaning that the battery may release its whole electric energy during *jth* discharge behavior.

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